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Kristi L. Davidson, Reg. No. 44,643

Date

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/814,768

Filed:

March 31, 2004

Group Art Unit:

1762

Examiner:

Bret P. Chen

Applicant:

Kazuhito Nakamura, Cory Wajda, Enrico Mosca, Yumiko Kawano,

Gert Leusink, Fenton R. McFeely, Sandra G. Malhotra

Title:

A METHOD FOR PROCESSING A SUBSTRATE

Attorney Docket:

RAJ-018

Confirmation No.:

8865

Cincinnati, Ohio 45202

September 15, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56, and means of complying therewith according to 37 C.F.R. §\$1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Because the requirement

Application Serial No. 10/814,768 Supplemental Information Disclosure Statement submitted September 15, 2005 from International Search Report of PCT/US2005/004905

(37 C.F.R. §1.98(a)(2)(i)) for submitting copies of U.S. patents and published applications has been waived, copies of only the foreign cited references and/or other documents are enclosed herewith. Applicants have also enclosed a copy of the foreign Search Report listing these references.

It is submitted that the cited references do not disclose or render obvious the subject matter claimed in the present application.

Certifications under 37 C.F.R. § 1.97(e) and § 1.704(d)

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

Applicants do not believe that any fees are due in connection with this submission.

However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to Deposit Account No. 23-3000.

Application Serial No. 10/814,768 Supplemental Information Disclosure Statement submitted September 15, 2005 from International Search Report of PCT/US2005/004905

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:

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Sheet _ 1 (A) _ of _ 2 SERIAL NO. U.S. DEPARTMENT OF COMMERCE SUBSTITUTE FORM PTO-1449 ATTY. DOCKET NO. 10/814,768 PATENT AND TRADEMARK OFFICE **RAJ-018** (MODIFIED) INFORMATION DISCLOSURE APPLICANT STATEMENT BY APPLICANT Nakamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) March 31, 2004 1762 U.S. PATENT DOCUMENTS FILING DATE **ISSUE EXAMINER** SUBCLASS IF APPROPRIATE PATENT NUMBER **CLASS** DATE PATENTEE INITIAL PE 2 07/05/1988 8 9 4 5 01/16/1990 Smith et al. 427 78 06/05/1995 5 6 9 5 8 3 2 12/09/1997 Hirano et al. 427 577 A.B 1 8 5002 A.C A.D A.E A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **COUNTRY OR** TRANSLATION **PUBLICATION DOCUMENT SUBCLASS** NUMBER DATE PATENT OFFICE **CLASS** (YES/NO) A.L A.M A.N A.O A.P A.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) A.R

A.R Wakabayashi et al., Precoat Film Forming Method, Idling Method of Film Forming Device, Loading Table Struature, Film Forming Device and Film Forming Method, US 2003/O165620 A1; Publication Date 09/O4/2003; Filing Date 03/28/2003; U.S. Class 427/255.391

A.S Ohashi et al., Diamond-Coated Member, US 2003/O064225 A1; Publication Date 04/O3/2003; Filing Date 02/O8/2002; U.S. Class 428/408

A.T Konyashin et al., Thin Films Comparable with WC-Co Cemented Carbides as Underlayers for Hard and Superhard Coatings: The State of the Art, Diamond and Related Materials Vol. 5, April 1996, 575-579

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to Applicant.

Sheet 2 (B) of 2 SERIAL NO. U.S. DEPARTMENT OF COMMERCE SUBSTITUTE FORM PTO-1449 ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE 10/814,768 (MODIFIED) **RAJ-018** INFORMATION DISCLOSURE APPLICANT STATEMENT BY APPLICANT Nakamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) March 31, 2004 1762 U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER PATENTEE **CLASS** INITIAL DATE B.A B.B B.C B.D B.E B.F B.G B.H 8.1 B.J B.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **DOCUMENT PUBLICATION COUNTRY OR TRANSLATION SUBCLASS** NUMBER DATE PATENT OFFICE CLASS (YES/NO) B.L B.M B.N B.O B.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

B.R European Patent Office, International Search Report, PCT/US2005/004905, 08/26/2005, 4 pp.

B.S

B.T

EXAMINER

DATE CONSIDERED

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